

# The Hochschild-Serre property for some $p$ -adic analytic group actions

Kiran S. Kedlaya

June 11, 2015

## Abstract

Let  $H \subseteq G$  be an inclusion of  $p$ -adic Lie groups. When  $H$  is normal or even subnormal in  $G$ , the Hochschild-Serre spectral sequence implies that any continuous  $G$ -module whose  $H$ -cohomology vanishes in all degrees also has vanishing  $G$ -cohomology. With an eye towards applications in  $p$ -adic Hodge theory, we extend this to some cases where  $H$  is not subnormal, assuming that the  $G$ -action is analytic in the sense of Lazard.

## 1 Introduction

Let  $H \subseteq G$  be an inclusion of groups and let  $M$  be a  $G$ -module. If  $H$  is normal, then the *Hochschild-Serre spectral sequence* [5] has the form

$$E_2^{p,q} = H^p(G/H, H^q(H, M)) \implies H^{p+q}(G, M). \quad (1.0.1)$$

(This is sometimes also called the *Lyndon spectral sequence* in recognition of a similar prior result [9] which did not explicitly exhibit the spectral sequence.) If  $H$  is not normal, one can still ask to what extent the  $G$ -cohomology of  $M$  is determined by the  $H$ -cohomology. In particular, one can ask whether for any morphism  $M \rightarrow N$  of  $G$ -modules such that  $H^i(H, M) \rightarrow H^i(H, N)$  is an isomorphism for all  $i \geq 0$ ,  $H^i(G, M) \rightarrow H^i(G, N)$  is also an isomorphism for all  $i \geq 0$ ; in this case, we say that the inclusion  $H \subseteq G$  of groups has the *HS (Hochschild-Serre) property*. Thanks to (1.0.1), the HS property holds when  $H$  is *subnormal* in  $G$ , i.e., there exists a finite sequence  $H = H_0 \subset H_1 \subset \cdots \subset H_m = G$  in which each inclusion  $H_i \subset H_{i+1}$  is normal. On the other hand, it is not difficult to produce examples of inclusions of finite groups for which the HS property fails; see for instance Example 2.7.

One can also exhibit an analogue of the Hochschild-Serre spectral sequence for normal inclusions of topological groups, which again implies the HS property for subnormal inclusions; see [4]. The main result of this paper (Theorem 4.1) is a restricted analogue of the HS property for certain non-subnormal inclusions of  $p$ -adic Lie groups, which applies only to the category of topological modules which are of characteristic  $p$  and *analytic* in the sense of

Lazard [8]. It is crucial that the cohomology groups of such modules can be computed using either continuous or analytic cochains; this makes it possible to quantify the statement that an analytic group action of a  $p$ -adic Lie group is “approximately abelian.”

We illustrate this theorem with some examples which arise from  $p$ -adic Hodge theory. To be precise, these examples come from upcoming joint work with Liu [7] on generalizations of the Cherbonnier-Colmez theorem on descent of  $(\varphi, \Gamma)$ -modules [3], in the style of our new approach to the original theorem of Cherbonnier and Colmez [6].

## 2 The HS property for discrete groups

For context, we begin with some remarks on the HS property for discrete groups.

**Definition 2.1.** For  $G$  a group and  $M$  a  $G$ -module, we say that  $M$  has *totally trivial  $G$ -cohomology* if  $H^i(G, M) = 0$  for all  $i \geq 0$ . Note that for given  $G, H, \mathcal{C}$ , the HS property can be formulated as the statement that any  $M \in \mathcal{C}$  with totally trivial  $H$ -cohomology also has totally trivial  $G$ -cohomology.

**Remark 2.2.** If  $H \subset G$  is a proper inclusion of groups and  $M$  is a  $G$ -module with totally trivial  $G$ -cohomology,  $M$  need not have totally trivial  $H$ -cohomology.

**Proposition 2.3.** *Let  $G$  be a finite  $p$ -group and let  $M$  be a  $G$ -module. The following conditions are equivalent.*

- (a) *The  $G$ -module  $M$  has totally trivial  $G$ -cohomology.*
- (b) *The group  $M$  is uniquely  $p$ -divisible (i.e., is a module over  $\mathbb{Z}[p^{-1}]$ ) and  $H^0(G, M) = 0$ .*

*Proof.* For  $i > 0$ ,  $H^i(G, M)$  is a torsion group killed by the order of  $G$  [10, §2.4, Proposition 9]; hence (b) implies (a). Conversely, the  $p$ -torsion subgroup  $M[p]$  of  $M$  has the property that  $H^0(G, M[p]) = M[p]$  injects into  $H^0(G, M)$ . Consequently, if  $M$  has totally trivial  $G$ -cohomology, then on one hand multiplication by  $p$  is injective on  $M$ ; on the other hand, the same is then true for  $pM$  (which is isomorphic to  $M$  as a  $G$ -module) and  $M/pM$  (by the long exact sequence in cohomology), but the latter forces  $M/pM = 0$ . Hence (a) implies (b).  $\square$

**Remark 2.4.** Proposition 2.3 implies the HS property for inclusions of finite  $p$ -groups, although this is already clear because such inclusions are always subnormal. An immediate corollary is that if  $H$  is a subgroup of a normal subgroup  $P$  of  $G$  which is a finite  $p$ -group, then  $H \subseteq G$  has the HS property.

**Example 2.5** (Serre). For  $G$  a semisimple algebraic group over  $\mathbb{F}_q$  and  $P$  a  $p$ -Sylow subgroup, the Steinberg representation of  $G$  restricts to a free  $\mathbb{F}_q[P]$ -module and thus has totally trivial  $G$ -cohomology.

Here are some examples to show that the HS property does not always hold. We start with a minimal example.

**Example 2.6** (Naumann). Put  $G = S_3$ , let  $H$  be the subgroup generated by a transposition, and take  $M = \mathbb{F}_3$  with the action of  $G$  being given by the sign character. It is apparent that  $M$  has vanishing  $H$ -cohomology. On the other hand, the groups  $H^i(A_3, M)$  are all  $\mathbb{F}_3$ -vector spaces and are hence  $H$ -acyclic, so (1.0.1) yields  $H^1(S_3, M) = H^1(A_3, M) = \mathbb{F}_3$ . Explicitly, a nonzero class is represented by the crossed homomorphism taking one element of order 3 to +1 and the other to -1, mapping the other elements to 0.

A similar example exists in any odd characteristic  $p$  using the dihedral group of order  $2p$ . For an example in characteristic 2, we offer the following.

**Example 2.7** (Serre). Let  $M'$  be a 5-dimensional vector space over  $\mathbb{F}_2$  equipped with a nondegenerate quadratic form  $q$ . The associated bilinear form  $b$  has rank 4; let  $K$  be its kernel and put  $M = M'/K$ . The action of  $G = \text{SO}(M', q)$  ( $\cong S_6$ ) preserves  $K$  and the induced action on  $M$  defines an isomorphism  $\text{SO}(M', q) \cong \text{Sp}(M, b) \cong \text{Sp}_4(\mathbb{F}_2)$ . The exact sequence

$$0 \rightarrow K \rightarrow M' \rightarrow M \rightarrow 0$$

of  $G$ -modules does not split, so  $H^1(G, M)$  is nonzero.

Now split  $M$  as a direct sum  $M_1 \oplus M_2$  of nonisotropic subspaces and put  $H_i = \text{SL}(M_i)$  and  $H = H_1 \times H_2$  ( $\cong S_3 \times S_3$ ). As in Example 2.5,  $M_1$  has no nonzero  $H_1$ -invariants and restricts to a free module over  $\mathbb{F}_2[P_1]$  for  $P_1$  a 2-Sylow subgroup of  $H_1$ ; it follows that  $M_1$  has totally trivial  $H_1$ -cohomology, hence also totally trivial  $H$ -cohomology by (1.0.1). Similarly,  $M_2$  has totally trivial  $H$ -cohomology, as then does  $M$ . We conclude that the inclusion  $H \subseteq G$  does not have the HS property.

### 3 Analytic group actions

We now introduce the class of group actions to which our main result applies. The basic setup is taken from the work of Lazard [8].

**Hypothesis 3.1.** Throughout §3, let  $\Gamma$  be a *profinite  $p$ -analytic group* in the sense of [8, III.3.2.2]. For example, we may take  $\Gamma$  to be a compact  $p$ -adic Lie group.

**Definition 3.2.** For  $M$  a  $\Gamma$ -module, let  $C^\bullet(\Gamma, M)$  be the complex of inhomogeneous cochains, so that  $C^n(\Gamma, M) = \text{Map}(\Gamma^n, M)$  and for  $h \in C^n(\Gamma, M)$  and  $\gamma_0, \dots, \gamma_n \in \Gamma$ ,

$$\begin{aligned} (dh)(\gamma_0, \dots, \gamma_n) &= \gamma_0 h(\gamma_1, \dots, \gamma_n) \\ &\quad + \sum_{i=1}^n (-1)^i h(\gamma_0, \dots, \gamma_{i-2}, \gamma_{i-1} \gamma_i, \gamma_{i+1}, \dots, \gamma_n) \\ &\quad + (-1)^{n+1} h(\gamma_0, \dots, \gamma_{n-1}). \end{aligned}$$

For  $M$  a topological  $\Gamma$ -module, let  $C_{\text{cont}}^\bullet(\Gamma, M)$  be the subcomplex of  $C^\bullet(\Gamma, M)$  consisting of continuous cochains, so that  $C_{\text{cont}}^n(\Gamma, M) = \text{Cont}(\Gamma^n, M)$ . Let  $H_{\text{cont}}^\bullet(\Gamma, M)$  be the cohomology groups of  $C_{\text{cont}}^\bullet(\Gamma, M)$ , topologized as subquotients for the compact-open topology; for a more intrinsic interpretation of these groups, see [4, Proposition 9.4].

For normal subgroups of  $\Gamma$ , we again have a Hochschild-Serre spectral sequence.

**Lemma 3.3.** *For any closed normal subgroup  $\Gamma'$  of  $\Gamma$  and any topological  $\Gamma$ -module  $M$ , there is a spectral sequence*

$$E_2^{p,q} = H_{\text{cont}}^p(\Gamma/\Gamma', H_{\text{cont}}^q(\Gamma', M)) \implies H_{\text{cont}}^{p+q}(\Gamma, M).$$

For our purposes, convergence of the spectral sequence may be interpreted at the level of bare abelian groups, but it also makes sense at the level of topological groups: starting from  $E_2$ , each stage of the spectral sequence induces a subquotient topology on the subsequent stage, and  $H_{\text{cont}}^{p+q}(\Gamma, M)$  admits a filtration by subgroups (not guaranteed to be closed) whose subquotients are homeomorphic to the corresponding terms of  $E_\infty$ .

*Proof.* Since  $\Gamma$  and  $\Gamma'$  are profinite, the surjection of topological spaces  $\Gamma \rightarrow \Gamma/\Gamma'$  admits a continuous section. Consequently, the explicit construction of the spectral sequence for finite groups given in [5, §2] carries over without change. For further discussion, see [8, §V.3.2].  $\square$

**Definition 3.4.** Let  $A$  be the completion of the group ring  $\mathbb{Z}_p[\Gamma]$  with respect to the  $p$ -augmentation ideal  $\ker(\mathbb{Z}_p[\Gamma] \rightarrow \mathbb{F}_p)$ . Put  $I = \ker(A \rightarrow \mathbb{F}_p)$ ; we view  $A$  as a filtered ring using the  $I$ -adic filtration. We also define the associated valuation: for  $x \in A$ , let  $w(A; x)$  be the supremum of those nonnegative integers  $i$  for which  $x \in I^i$ .

**Definition 3.5.** An *analytic  $\Gamma$ -module* is a left  $A$ -module  $M$  complete with respect to a valuation  $w(M; \bullet)$  for which there exist  $a > 0, c \in \mathbb{R}$  such that

$$w(M; xy) \geq aw(A; x) + w(M; y) + c \quad (x \in A, y \in M).$$

Equivalently, there exist an open subgroup  $\Gamma_0$  of  $\Gamma$  and a constant  $a > 0$  such that

$$w(M; (\gamma - 1)y) \geq w(M; y) + a \quad (\gamma \in \Gamma_0, y \in M).$$

**Example 3.6.** Let  $M$  be a torsion-free  $\mathbb{Z}_p$ -module of finite rank on which  $\Gamma$  acts continuously. Then  $M$  is an analytic  $A$ -module for the valuation defined by any basis; see [8, Proposition V.2.3.6.1].

**Definition 3.7.** Let  $M$  be a continuous  $\Gamma$ -module. A cochain  $\Gamma^i \rightarrow M$  is *analytic* if for every homeomorphism between an open subspace  $U$  of  $\Gamma^i$  and an open subspace  $V$  of  $\mathbb{Z}_p^n$  for some nonnegative integer  $n$ , the induced function  $V \rightarrow M$  is locally analytic (i.e., locally represented by a convergent power series expansion). Let  $C_{\text{an}}^i(\Gamma, M) \subseteq C_{\text{cont}}^i(\Gamma, M)$  be the space of analytic cochains.

Suppose now that  $M$  is an analytic  $\Gamma$ -module. Then by the proof of [8, Proposition V.2.3.6.3],  $C_{\text{an}}^i(\Gamma, M)$  is a subcomplex of  $C_{\text{cont}}^i(\Gamma, M)$ ; we thus obtain *analytic cohomology* groups  $H_{\text{an}}^i(\Gamma, M)$  and natural homomorphisms  $H_{\text{an}}^i(\Gamma, M) \rightarrow H_{\text{cont}}^i(\Gamma, M)$ .

**Theorem 3.8** (Lazard). *If  $M$  is an analytic  $\Gamma$ -module, then the inclusion  $C_{\text{an}}^i(\Gamma, M) \rightarrow C_{\text{cont}}^i(\Gamma, M)$  is a quasi-isomorphism. That is, the continuous cohomology of  $M$  can be computed using analytic cochains.*

*Proof.* In the context of Example 3.6, this is the statement of [8, Théorème V.2.3.10]. However, the proof of this statement only uses the stronger hypothesis in the proof of [8, Proposition V.2.3.6.1], which we have built into the definition of an analytic  $\Gamma$ -module. The remainder of the proof of [8, Théorème V.2.3.10] thus carries over unchanged.  $\square$

**Remark 3.9.** In considering Theorem 3.8, it may help to consider the first the case of 1-cocycles: every 1-cocycle is cohomologous to a crossed homomorphism, which is analytic because of how it is determined by its action on topological generators.

## 4 The HS property for some analytic group actions

We now establish our main result, which gives an analogue of the HS property for certain analytic group actions.

**Theorem 4.1.** *Let  $\Gamma$  be a profinite  $p$ -analytic group. Let  $H$  be a pro- $p$  procyclic subgroup of  $\Gamma$  (i.e., it is isomorphic to  $\mathbb{Z}_p$ ). Let  $M$  be a analytic  $\Gamma$ -module which is a Banach space over some nonarchimedean field of characteristic  $p$  with a nontrivial absolute value. (It is not necessary to require  $\Gamma$  to act on this field.) If  $H_{\text{cont}}^i(H, M) = 0$  for all  $i \geq 0$ , then  $H_{\text{cont}}^i(\Gamma, M) = 0$  for all  $i \geq 0$ .*

*Proof.* Let  $\eta$  be a topological generator of  $H$ . The vanishing of  $H_{\text{cont}}^0(H, M)$  and  $H_{\text{cont}}^1(H, M)$  means that  $\eta - 1$  is a bijection on  $M$ ; by the Banach open mapping theorem [2, §I.3.3, Théorème 1],  $\eta - 1$  admits a bounded inverse. Since  $M$  is of characteristic  $p$ , for each nonnegative integer  $n$  the actions of  $\eta^{p^n} - 1$  and  $(\eta - 1)^{p^n}$  coincide; hence  $\eta^{p^n} - 1$  also has a bounded inverse.

We next make some reductions. Recall that  $M$  has been assumed to be an analytic  $\Gamma$ -module. We may thus choose a pro- $p$ -subgroup  $\Gamma_0$  of  $\Gamma$  on which the logarithm map defines a bijection with  $\mathbb{Z}_p^h$  for some  $h$ , such that for some  $c_0 \in (0, 1)$  we have

$$|(\gamma - 1)y| \leq c_0 |y| \quad (\gamma \in \Gamma_0, y \in M).$$

By the previous paragraph, we may also assume  $\eta \in \Gamma_0$ . Using Lemma 3.3, we may also assume  $\Gamma = \Gamma_0$ . By Theorem 3.8, to check that  $H_{\text{cont}}^i(\Gamma, M) = 0$  it suffices to check that  $H_{\text{an}}^i(\Gamma, M) = 0$ .

Let  $\Gamma_n$  be the subgroup of  $\Gamma_0$  which is the image of  $p^n \mathbb{Z}_p^h$  under the exponential map. For  $c_0$  as above, we have

$$|(\gamma - 1)(y)| \leq c_0^{p^n} |y| \quad (n \geq 0, \gamma \in \Gamma_n, y \in M). \quad (4.1.1)$$

For  $c \in (0, c_0]$ , we say that a cochain  $f : \Gamma^n \rightarrow M$  is  $c$ -analytic if there exists  $d > 0$  such that

$$|f(\gamma_1, \dots, \gamma_n) - f(\gamma_1 \eta_1, \dots, \gamma_n \eta_n)| \leq d c^{p^{i_1} + \dots + i_n} \quad (\gamma_1, \dots, \gamma_n \in \Gamma; i_1, \dots, i_n \geq 0; \eta_j \in \Gamma_{i_j}). \quad (4.1.2)$$

Using the fact that  $M$  is of characteristic  $p$ , one may check that any analytic cochain in the sense of Lazard is  $c$ -analytic for some  $c > 0$ . This means that  $C_{\text{an}}^n(\Gamma, M)$  can be written as

the union of the subspaces  $C_{\text{an},c}^n(\Gamma, M)$  of  $c$ -analytic cochains over all  $c \in (0, c_0]$ . Moreover, using (4.1.1) we see that  $C_{\text{an},c}^n(\Gamma, M)$  is a subcomplex of  $C_{\text{an}}^n(\Gamma, M)$ , so to prove the theorem it suffices to check the acyclicity of each  $C_{\text{an},c}^n(\Gamma, M)$ .

From now on, fix  $c \in (0, c_0]$ . We define a norm on  $C_{\text{an},c}^n(\Gamma, M)$  assigning to each cochain  $f$  the minimum  $d \geq 0$  for which (4.1.2) holds; note that  $C_{\text{an},c}^n(\Gamma, M)$  is complete with respect to this norm. For  $m \geq 0$ , we define a chain homotopy  $h_m$  on  $C_{\text{an},c}^n(\Gamma, M)$  by the following formula: for  $f_n \in C_{\text{an},c}^n(\Gamma, M)$ ,

$$h_m(f_n)(\gamma_1, \dots, \gamma_{n-1}) = (\eta^{p^m} - 1)^{-1} \sum_{i=1}^n (-1)^{i-1} f_n(\gamma_1, \dots, \gamma_{i-1}, \eta^{p^m} \gamma_i, \gamma_{i+1}, \dots, \gamma_n).$$

We then compute that

$$\begin{aligned} & (d \circ h_m + h_m \circ d - 1)(f_n)(\gamma_1, \dots, \gamma_n) \\ &= (\gamma_1(\eta^{p^m} - 1)^{-1} - (\eta^{p^m} - 1)^{-1} \gamma_1) \sum_{i=1}^n (-1)^{i-1} f_n(\gamma_2, \dots, \gamma_i, \eta^{p^m} \gamma_{i+1}, \dots, \gamma_n) \\ & - \sum_{i=1}^n (\eta^{p^m} - 1)^{-1} (f_n(\gamma_1, \dots, \gamma_{i-1}, \eta^{p^m} \gamma_i, \gamma_{i+1}, \dots, \gamma_n) - f_n(\gamma_1, \dots, \gamma_{i-1}, \gamma_i \eta^{p^m}, \gamma_{i+1}, \dots, \gamma_n)). \end{aligned}$$

To bound the right side of this equality, write

$$\gamma(\eta^{p^m} - 1)^{-1} - (\eta^{p^m} - 1)^{-1} \gamma = (\eta^{p^m} - 1)^{-1} (\eta^{p^m} \gamma) (1 - \gamma^{-1} \eta^{-p^m} \gamma \eta^{p^m}) (\eta^{p^m} - 1)^{-1}.$$

Then note that if  $\gamma_i \in \Gamma_j$ , then  $\eta^{p^m} \gamma_i$  and  $\gamma_i \eta^{p^m}$  differ by an element of  $\Gamma_{m+j+1}$ . Finally, let  $t > 0$  be the operator norm of the inverse of  $\eta - 1$  on  $M$ ; then  $\eta^{p^m} - 1$  has an inverse of operator norm at most  $t^{p^m}$ . Fix  $\epsilon \in (0, 1)$ ; for  $m$  sufficiently large, we have

$$\max\{t^{2p^m} c^{p^{2m}}, t^{p^m} c^{p^{m+1}}\} < 1 - \epsilon.$$

For such  $m$ , the map  $d \circ h_m + h_m \circ d - 1$  acts on  $C_{\text{an},c}^n(\Gamma, M)$  with operator norm at most  $1 - \epsilon$ ; consequently, there is an invertible map on  $C_{\text{an},c}^n(\Gamma, M)$  which is homotopic to zero. This proves the claim.  $\square$

Note that Example 2.6 and Example 2.7 show that Theorem 4.1 cannot remain true if we drop the condition that  $H$  be pro- $p$ . However, it does not resolve the following question.

**Question 4.2.** Does Theorem 4.1 remain true if we drop the condition that  $H$  be procyclic? This does not follow from Theorem 4.1 because the hypothesis of the theorem is not preserved upon replacing  $H$  with a subgroup (Remark 2.2).

## 5 Examples from $p$ -adic Hodge theory

We conclude with some examples of Theorem 4.1 which are germane to  $p$ -adic Hodge theory.

**Definition 5.1.** For any ring  $R$  of characteristic  $p$ , let  $\overline{\varphi} : R \rightarrow R$  denote the  $p$ -power Frobenius endomorphism.

**Remark 5.2.** We will frequently use the “Leibniz rule” for group actions, in the form of the identity

$$(\gamma - 1)(\overline{xy}) = (\gamma - 1)(\overline{x})\overline{y} + \gamma(\overline{x})(\gamma - 1)(\overline{y}). \quad (5.2.1)$$

For instance, this holds if  $\gamma$  acts on a ring containing  $\overline{x}$  and  $\overline{y}$ , or if it acts compatibly on a ring containing  $\overline{x}$  and a module containing  $\overline{y}$  (or vice versa).

**Proposition 5.3.** *Let  $F$  be a complete discretely valued field of characteristic  $p$ . Let  $R$  be an affinoid algebra over  $F$ . Let  $M$  be a finitely generated  $R$ -module. Let  $\Gamma$  be a profinite  $p$ -analytic group acting compatibly on  $F, R, M$ , and suppose that there is an open subgroup of  $\Gamma$  fixing the residue field of  $F$ . Then  $M$  is an analytic  $\Gamma$ -module.*

*Proof.* Let  $\mathfrak{o}_F$  be the valuation subring of  $F$ . Let  $\overline{\pi}$  be a uniformizer of  $\mathfrak{o}_F$ . By hypothesis, there exists an open subgroup  $\Gamma_0$  on  $\Gamma$  fixing  $\mathfrak{o}_F/(\overline{\pi})$ . Then for any  $\gamma \in \Gamma_0$  and any positive integer  $n$ ,  $\gamma^{p^n}$  fixes  $\mathfrak{o}_F/(\overline{\pi}^{n+1})$ , so  $F$  itself is an analytic  $\Gamma$ -module.

By definition,  $R$  is a quotient of the Tate algebra  $F\{T_1, \dots, T_n\}$  for some nonnegative integer  $n$ . Equip  $R$  with the quotient norm for some such presentation. Let  $r_i \in R$  be the image of  $T_i$ . Since the action of  $\Gamma$  on  $R$  is continuous, for any  $c > 0$  there exists an open subgroup  $\Gamma_0$  of  $\Gamma$  such that

$$|(\gamma - 1)(f)| \leq \frac{c}{2} |f|, \quad |(\gamma - 1)(r_i)| \leq \frac{c}{2}$$

for all  $\gamma \in \Gamma_0$ ,  $i \in \{1, \dots, n\}$ ,  $f \in F$ . Then for any  $x \in R$ , we can lift it to some  $y = \sum_{i_1, \dots, i_n=0}^{\infty} y_{i_1, \dots, i_n} T_1^{i_1} \cdots T_n^{i_n} \in F\{T_1, \dots, T_n\}$  with  $|y| \leq 2|x|$ , and then observe that

$$\begin{aligned} |(\gamma - 1)(x)| &\leq \max\{|(\gamma - 1)(y_{i_1, \dots, i_n} r_1^{i_1} \cdots r_n^{i_n})| : i_1, \dots, i_n \geq 0\} \\ &\leq \frac{c}{2} \max\{|y_{i_1, \dots, i_n}| : i_1, \dots, i_n \geq 0\} \quad (\text{by (5.2.1)}) \\ &= (c/2) |y| \leq c |x|. \end{aligned}$$

It follows that the action of  $\Gamma$  on  $R$  is analytic.

Since  $R$  is noetherian,  $M$  may be viewed as a finite Banach module over  $R$  by [1, Proposition 3.7.3/3, Proposition 6.1.1/3]. By choosing topological generators for  $M$  as an  $R$ -module, we may repeat the argument of the previous paragraph to deduce that the action of  $\Gamma$  on  $M$  is analytic.  $\square$

**Example 5.4.** The action of  $\Gamma = \mathbb{Z}_p^\times$  on  $F = \mathbb{F}_p((\overline{\pi}))$  via the substitution  $\pi \mapsto (1 + \pi)^\gamma - 1$  is analytic. By contrast, the induced action on the completion of the perfect closure of  $F$  is continuous but not analytic.

Now take  $R = F$  and  $M = \overline{\varphi}^{-1}(R)/R$ . By Proposition 5.3, the action of  $\Gamma$  on  $M$  is analytic.

Put  $\gamma = 1 + p^2 \in \Gamma$ ; this element generates the pro- $p$  procyclic subgroup  $H = 1 + p^2\mathbb{Z}_p$  of  $\Gamma$ . As an  $H$ -module,  $M$  splits as a direct sum  $\bigoplus_{j=1}^{p-1} (1 + \bar{\pi})^{j/p} F$ . Choose  $j \in \{1, \dots, p-1\}$  and put  $\bar{y} = (1 + \bar{\pi})^{j/p}$ . We have

$$(\gamma - 1)(\bar{\pi}) = (\gamma - 1)(1 + \bar{\pi}) = ((1 + \bar{\pi})^{p^2} - 1)(1 + \bar{\pi}).$$

Thus on one hand,

$$|(\gamma - 1)(\bar{x})| \leq |\bar{\pi}|^{p^2} |\bar{x}| \quad (\bar{x} \in F);$$

on the other hand,

$$|(\gamma - 1)(\bar{y})| = |\bar{\pi}|^p |\bar{y}|,$$

and by (5.2.1), we see that for all  $\bar{z} \in \bar{y}F$  we have

$$|(\gamma - 1)(\bar{z})| = |\bar{\pi}|^p |\bar{z}|.$$

In particular,  $\gamma - 1$  is bijective on  $\bar{y}F$  for each  $j$ , so  $H_{\text{cont}}^i(H, M) = 0$  for all  $i \geq 0$ . In this example,  $H$  is normal in  $\Gamma$ , so we may invoke Lemma 3.3 to deduce that  $H_{\text{cont}}^i(\Gamma, M) = 0$  for all  $i \geq 0$ . This calculation plays an essential role in the proof of the Cherbonnier-Colmez theorem described in [6].

This example generalizes as follows.

**Example 5.5.** Put  $F = \mathbb{F}_p((\bar{\pi}))$  and  $R = F\{\bar{t}_1, \dots, \bar{t}_d\}$  for some  $d \geq 0$ . The ring  $R$  admits a continuous action of  $\Gamma = \mathbb{Z}_p^\times \triangleright \mathbb{Z}_p^d$  in which  $\gamma \in \mathbb{Z}_p^\times$  acts as in Example 5.4 fixing  $\mathbb{Z}_p^d$ , while for  $j = 1, \dots, d$  an element  $\gamma_j$  in the  $j$ -th copy of  $\mathbb{Z}_p$  sends  $\bar{t}_j$  to  $(1 + \bar{\pi})^{\gamma_j} \bar{t}_j$  and fixes  $\bar{\pi}$  and  $\bar{t}_k$  for  $k \neq j$ . Put  $M = \bar{\varphi}^{-1}(R)/R$ . By Proposition 5.3, the actions of  $\Gamma$  on  $F, R, M$  are analytic.

Put  $\Gamma_0 = (1 + p^2\mathbb{Z}_p) \triangleright p\mathbb{Z}_p^d$ . We then have a decomposition

$$M \cong \bigoplus (1 + \bar{\pi})^{e_0/p} \bar{t}_1^{e_1/p} \dots \bar{t}_d^{e_d/p} R \quad (5.5.1)$$

of  $R$ -modules and  $\Gamma_0$ -modules, in which  $(e_0, \dots, e_d)$  runs over  $\{0, \dots, p-1\}^{d+1} \setminus \{(0, \dots, 0)\}$ .

Choose a tuple  $(e_0, \dots, e_d) \neq (0, \dots, 0)$  and put  $\bar{y} = (1 + \bar{\pi})^{e_0/p} \bar{t}_1^{e_1/p} \dots \bar{t}_d^{e_d/p}$ . Suppose first that  $e_j \neq 0$  for some  $j > 0$ . Let  $\gamma$  be the canonical generator of the  $j$ -th copy of  $p\mathbb{Z}_p^d$ . Then

$$|(\gamma - 1)(\bar{y})| = |\bar{\pi}| \bar{y};$$

on the other hand,

$$|(\gamma - 1)(\bar{x})| \leq |\bar{\pi}|^p |\bar{x}| \quad (\bar{x} \in R),$$

so using (5.2.1) again we see that  $\gamma - 1$  acts invertibly on  $\bar{y}R$ . By Lemma 3.3 we have  $H_{\text{cont}}^i(\Gamma_0, \bar{y}R) = 0$  for all  $i \geq 0$ .

Suppose next that  $e_0 \neq 0$  but  $e_1 = \dots = e_d = 0$ . Put  $\gamma = 1 + p^2 \in \mathbb{Z}_p^\times$ . As in Example 5.4, we see that  $\gamma - 1$  acts invertibly on  $\bar{y}R$ . Since  $\mathbb{Z}_p^\times$  is not normal in  $\Gamma$ , we must now apply Theorem 4.1 instead of Lemma 3.3 to deduce that  $H_{\text{cont}}^i(\Gamma_0, \bar{y}R) = 0$  for all  $i \geq 0$ .

Putting everything together, we deduce that  $H_{\text{cont}}^i(\Gamma_0, M) = 0$  for all  $i \geq 0$ . By Lemma 3.3 once more, we see that  $H_{\text{cont}}^i(\Gamma, M) = 0$  for all  $i \geq 0$ . This calculation plays an essential role in a generalization of the Cherbonnier-Colmez theorem described in [7].



**Remark 5.6.** Another class of examples to be considered in [7], based on Lubin-Tate towers, yields cases in which  $\Gamma = \mathrm{GL}_d(\mathbb{Z}_p)$  and the vanishing of cohomology can again be checked using Theorem 4.1.

## Acknowledgments

Thanks to Niko Naumann and Jean-Pierre Serre for providing Example 2.6 and Example 2.7, respectively, and to Serre for additional feedback. Kedlaya was supported by NSF grant DMS-1101343 and UC San Diego (Stefan E. Warschawski Professorship), and additionally by NSF grant DMS-0932078 while in residence at MSRI during fall 2014.

## References

- [1] S. Bosch, U. Güntzer, and R. Remmert, *Non-Archimedean Analysis*, Grundlehren der Math. Wiss. 261, Springer-Verlag, Berlin, 1984.
- [2] N. Bourbaki, *Espaces Vectoriels Topologiques*, reprint of the 1981 original, Springer, Berlin, 2007.
- [3] F. Cherbonnier and P. Colmez, Représentations  $p$ -adiques surconvergentes, *Invent. Math.* **133** (1998), 581–611.
- [4] M. Flach, Cohomology of topological groups with applications to the Weil group, *Compos. Math.* **144** (2008), 633–656.
- [5] G. Hochschild and J.-P. Serre, Cohomology of group extensions, *Ann. of Math.* **57** (1953), 591–603.
- [6] K.S. Kedlaya, New methods for  $(\varphi, \Gamma)$ -modules, arXiv:1307.2937v2 (2015); to appear in *Res. Math. Sci.*
- [7] K.S. Kedlaya and R. Liu, Relative  $p$ -adic Hodge theory, II: Imperfect period rings, in preparation.
- [8] M. Lazard, Groupes analytiques  $p$ -adiques, *Publ. Math. IHÉS* **26** (1965), 5–219.
- [9] R.C. Lyndon, The cohomology theory of group extensions, *Duke Math. J.* **15** (1948), 271–292.
- [10] J.-P. Serre, *Galois Cohomology*, Springer, 1997.